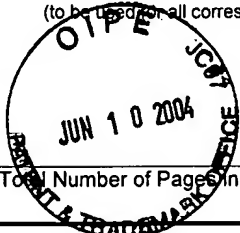


IF WJ

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



Application Number	10/762,494
Filing Date	January 22, 2004
First Named Inventor	Kevin J. Cann et al.
Group Art Unit	1711
Examiner Name	Unassigned
Attorney Docket Number	2003U002.US

Total Number of Pages in This Submission **4**  
+ references

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Preliminary Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Form-1449 <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> 1449 Form <input checked="" type="checkbox"/> Copies of Cited References <input type="checkbox"/> Certified Copy of Priority Documents) <input type="checkbox"/> Response to Missing Part/ Incomplete Application <input type="checkbox"/> Response to Missing Parts	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer Two (2) <input type="checkbox"/> Notice to File Corrected Application Papers <input type="checkbox"/> Substitute Specification	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Postcard</u>
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## REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	6-07-04		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

June 7, 2004

Typed or printed name	Tammy L. Hodges		
Signature		Date	6/07/2004

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2003U002.US</b>
<b>In Re Application of: Kevin J. Cann et al.</b>			
<b>U.S. Serial No. 10/762,494</b>	<b>Filing Date January 22, 2004</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit 1711</b>



Address to:  
**Mail Stop Non-Fee Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

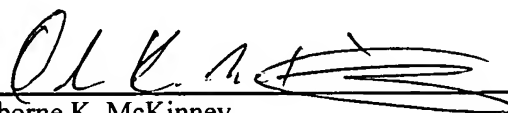
☒ Legible copies of the non-US references are enclosed.

☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

6-07-04  
Date

  
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# **INFORMATION DISCLOSURE STATEMENT**

*(Use several sheets if necessary)*

ATTY. DOCKET NO.

**2003U002.US**

SERIAL NO.

**10/762,494**

APPLICANT

**Kevin J. Cann, et al.**

FILING DATE

**January 22, 2004**

GROUP

**1711**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0042428	04/11/2002	Myers et al.	514	305	
	AB	3,324,095	06/06/1967	Carrick et al.	260	88.2	
	AC	3,324,101	06/06/1967	Baker et al.	260	94.9	
	AD	3,629,216	12/21/1971	Iwasaki et al.	260	88.2	
	AE	3,759,918	09/18/1973	Yamaguchi et al.	260	80.7	
	AF	3,767,635	10/23/1973	Yamaguchi et al.	260	88.2	
	AG	4,153,576	05/08/1979	Karol et al.	252	428	
	AH	4,451,573	05/29/1984	Ikegami et al.	502	113	
	AI	4,454,242	06/12/1984	Ikegami et al.	502	113	
	AJ	4,559,394	12/17/1985	Jackson	528	102	
	AK	5,075,395	12/24/1991	Durand et al.	526	105	

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	JP 2002020412	01/23/2002	Japan			Abstract	
	AM	JP 2001294612	10/23/2001	Japan			Abstract	
	AN	JP 201198811	07/24/2001	Japan			Abstract	
	AO	CN 1214344	04/21/1999	China			X	

## **OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)**

AP	
EXAMINER	DATE CONSIDERED
<p><b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant</p>	